

Regulatory Landscape



Financial Services Regulatory Listings

Country	Regulator	Website	Market
United States	Department of the Treasury	www.treasury.gov	GP
	Federal Reserve Bank	www.federalreserve.gov	GP /E
	Federal Reserve Bank of Boston	www.bos.frb.org	B (SC)
	Federal Reserve Bank of New York	www.newyorkfed.org	B (SC)
	Federal Reserve Bank of Philadelphia	www.philadelphiafed.org	B (SC)
	Federal Reserve Bank of Cleveland	www.clevelandfed.org	B (SC)
	Federal Reserve Bank of Richmond	www.richmondfed.org	B (SC)
	Federal Reserve Bank of Atlanta	www.frbatlanta.org	B (SC)
	Federal Reserve Bank of Chicago	www.chicagofed.org	B (SC)
	Federal Reserve Bank of St. Louis	www.stlouisfed.org	B (SC)
	Federal Reserve Bank of Minneapolis	www.minneapolisfed.org	B (SC)
	Federal Reserve Bank of Kansas City	www.kasacityfed.org	B (SC)
	Federal Reserve Bank of Dallas	www.dallasfed.org	B (SC)
	Federal Reserve Bank of San Francisco	www.frbsf.org	B (SC)
	Office of the Comptroller of the Currency	www.occ.treas.gov	B (FC)
	Federal Deposit Insurance Company	www.fdic.gov	B (DI)
	Commodity Futures Trading Commission	www.cftc.gov	F
	National Association of Insurance Commissioners	www.naic.org	I
	Securities and Exchange Commission	www.sec.gov	S/AM
	National Association of Securities Dealers	www.nasd.com	S/AM
NYSE Regulation Inc	www.nyse.com	S/AM	
Municipal Securities Rulemaking Board	www.msrb.org	S/AM	
International Standard-setting Bodies & others	Bank for International Settlements	www.bis.org	
	European Central Bank	www.ecb.int	
	International Monetary Fund	www.imf.org	
	Organization for Economic Coordination and Development	www.oecd.org	
	World Bank	www.worldbank.com	
International Standard-setting Bodies and others	Basel Committee on Banking Supervision	www.bis.org/bcbs/index.htm	
	Committee on the Global Financial System	www.bis.org/cgfs/index.htm	
	Committee on Payment and Settlement Systems	www.bis.org/cpss/	
	International Association of Insurance Supervisors	www.iaisweb.org	
	International Accounting Standards Board	www.iasb.org	
	International Organization for Securities Commissions	www.iosco.org	
	International Association of Deposit Insurers	www.iadi.org	

KEY

* GP/E	Government Policy & Economic Development	Integrated	Intergrated Regulation
S/AM	Securities Markets & Asset Management	SC	State Chartered
B	Banking	FC	Federal Charter
I	Insurance	SRO	Self Regulation Organization
F	Futures	DI	Deposit Insured

Regulatory Landscape: Listing by Country

Country	Regulator	Website	Market
Australia	Reserve Bank of Australia	www.rbg.gov.au	GP / E
	The Treasury	www.treasury.gov.au	GP / E
	Australian Securities and Investment Commission	www.asic.gov.au	S/AM, I
	The Australian Prudential Regulation Authority	www.apra.gov.au	B, I
Canada	Bank of Canada	www.bank-banque-canada.ca	GP / E
	Department of Finance	www.fin.gc.ca	GP / E
	Canada Deposit Insurance Corporation	www.cdic.ca	B
	Ontario Securities Commission	www.osc.gov.on.ca	S/AM
	Office of the Superintendent of Financial Institutions	www.osfi-bsif.gc.ca	B, I
France	Banque de France	www.banque-france.fr	Integrated
	Ministère de l'Economie et des Finances	www.minefi.gouv.fr	GP / E
	Autorité des marchés financiers	www.amf-france.org	S/AM
Germany	Deutsche Bundesbank	www.bundesbank.de	GP / E
	Bundesfinanzministerium	www.bundesfinanzministerium.de	GP / E
	Bundesanstalt für Finanzdienstleistungsaufsicht	www.bafin.de	Integrated
Hong Kong	The Treasury of Hong Kong SAR	www.info.gov.hk/tsy	GP / E
	Hong Kong Monetary Authority	www.hkma.gov.hk	B
	Office of the Commissioner of Insurance	www.info.gov.hk/oci	I
	Hong Kong Securities & Futures Commission	www.hksfc.org.hk	S/AM
Italy	Department of the Treasury	www.tesoro.it	GP / E
	Banca d'Italia	www.bancaditalia.it	B
	ISVAP	www.isvap.it	I
	Commissione Nazionale per le Società e la Borsa	www.consob.it	S/AM
Japan	Ministry of Finance	www.mof.go.jp	GP
	The Bank of Japan	www.boj.or.jp	GP / E
	Financial Services Agency	www.fsa.go.jp	Integrated
The Netherlands	Ministry of Finance	www.minfin.nl	GP
	De Nederlandsche Bank NV	www.dnb.nl	GP / E, Integrated
	Autoriteit Financiële Markten	www.autoriteit-fm.nl	Integrated
Singapore	Ministry of Finance	www.mof.gov.sg	GP / E
	Monetary Authority of Singapore	www.mas.gov.sg	Integrated
United Kingdom	HM Treasury	www.hm-treasury.gov.uk	GP
	Bank of England	www.bankofengland.co.uk	GP / E
	Financial Services Authority	www.fsa.gov.uk	Integrated

For further information contact us at: US Office - Tel: 800 577 1545 Toll Free or 973 805 8600, Ireland - Tel: +353 21 491 5100.

For more information visit www.qumas.com.

